

**IN THE UNITED STATES DISTRICT COURT  
FOR THE SOUTHERN DISTRICT OF NEW YORK**

JEFFREY LAYDON, on behalf of himself and all others  
similarly situated,

Plaintiff,

- against -

THE BANK OF TOKYO-MITSUBISHI UFJ, LTD., THE  
SUMITOMO TRUST AND BANKING CO., LTD., THE  
NORINCHUKIN BANK, MITSUBISHI UFJ TRUST AND  
BANKING CORPORATION, SUMITOMO MITSUI  
BANKING CORPORATION, J.P. MORGAN CHASE & CO.,  
J.P. MORGAN CHASE BANK, NATIONAL  
ASSOCIATION, J.P. MORGAN SECURITIES PLC,  
MIZUHO CORPORATE BANK, LTD., DEUTSCHE BANK  
AG, THE SHOKO CHUKIN BANK, LTD., SHINKIN  
CENTRAL BANK, UBS AG, UBS SECURITIES JAPAN CO.  
LTD., THE BANK OF YOKOHAMA, LTD., SOCIETE  
GENERALE SA, THE ROYAL BANK OF SCOTLAND  
GROUP PLC, THE ROYAL BANK OF SCOTLAND PLC,  
RBS SECURITIES JAPAN LIMITED, BARCLAYS BANK  
PLC, CITIBANK, NA, CITIGROUP, INC., CITIBANK,  
JAPAN LTD., CITIGROUP GLOBAL MARKETS JAPAN,  
INC., COOPERATIEVE CENTRALE RAIFFEISEN-  
BOERENLEENBANK B.A., HSBC HOLDINGS PLC, HSBC  
BANK PLC, LLOYDS BANKING GROUP PLC, ICAP  
EUROPE LIMITED, R.P. MARTIN HOLDINGS LIMITED,  
MARTIN BROKERS (UK) LTD., TULLETT PREBON PLC,  
AND JOHN DOE NOS. 1-50,

Defendants.

Docket No. 12-cv-3419  
(GBD)

FUND LIQUIDATION HOLDINGS LLC as assignee and successor-in-interest to Sonterra Capital Master Fund, Ltd., HAYMAN CAPITAL MASTER FUND, L.P., JAPAN MACRO OPPORTUNITIES MASTER FUND, L.P., and CALIFORNIA STATE TEACHERS' RETIREMENT SYSTEM, on behalf of themselves and all others similarly situated,

Plaintiffs,

- against -

UBS AG, UBS SECURITIES JAPAN CO. LTD., SOCIÉTÉ GÉNÉRALE S.A., NATWEST GROUP PLC, NATWEST MARKETS PLC, NATWEST MARKETS SECURITIES JAPAN LTD, NATWEST MARKETS SECURITIES, INC., BARCLAYS BANK PLC, BARCLAYS PLC, COÖPERATIEVE RABOBANK U.A., LLOYDS BANKING GROUP PLC, LLOYDS BANK PLC, NEX INTERNATIONAL LIMITED, ICAP EUROPE LIMITED, TP ICAP PLC, BANK OF AMERICA CORPORATION, BANK OF AMERICA, N.A., MERRILL LYNCH INTERNATIONAL, AND JOHN DOE NOS. 1-50,

Defendants.

Docket No. 15-cv-5844  
(GBD)

**REPRESENTATIVE PLAINTIFFS' NOTICE OF MOTION FOR FINAL APPROVAL OF CLASS ACTION SETTLEMENTS WITH (1) BARCLAYS BANK PLC, BARCLAYS CAPITAL INC., AND BARCLAYS PLC; (2) NEX INTERNATIONAL LIMITED; AND (3) ICAP EUROPE LIMITED, AND TP ICAP PLC**

**PLEASE TAKE NOTICE** that upon the accompanying memorandum of law, the Declaration of Vincent Briganti, the exhibits attached thereto, and the record herein, Representative Plaintiffs, by and through their undersigned counsel, will respectfully move this Court, before the Honorable George B. Daniels, United States District Judge, at the United States District Court, Southern District of New York, 500 Pearl Street, Courtroom 11A, New York, New York on March 14, 2023 at 10:00 a.m. for orders granting final approval of the Settlements between Representative Plaintiffs and Defendants, including (1) Barclays Bank PLC, Barclays

Capital Inc., and Barclays PLC; (2) Nex International Limited (f/k/a ICAP plc) and ICAP Europe Limited; and (3) TP ICAP plc (f/k/a Tullett Prebon plc and n/k/a TP ICAP Finance plc); and for such other relief as set forth in the [Proposed] Final Approval Orders and the [Proposed] Final Judgments, to be filed with the Court.

Dated: January 24, 2023  
White Plains, New York

Respectfully submitted,

**LOWEY DANNENBERG, P.C.**

By: /s/ Vincent Briganti

Vincent Briganti  
Geoffrey M. Horn  
44 South Broadway, Suite 1100  
White Plains, New York 10601  
Tel.: 914-997-0500  
Fax: 914-997-0035  
E-mail: vbriganti@lowey.com  
E-mail: ghorn@lowey.com

*Class Counsel*

Joseph J. Tabacco, Jr.  
Todd A. Seaver  
**BERMAN TABACCO**  
425 California Street, Suite 2300  
San Francisco, CA 94104  
Tel.: 415-433-3200  
Fax: 415-433-6282

Patrick T. Egan  
**BERMAN TABACCO**  
One Liberty Square  
Boston, MA 02109  
Tel.: 617-542-8300  
Fax: 617-542-1194

Christopher Lovell  
Benjamin M. Jaccarino  
**LOVELL STEWART HALEBIAN**  
**JACOBSON LLP**  
500 5th Avenue, Suite 2440  
New York, NY 10110  
Tel.: 212-608-1900

Fax: 212-719-4677

*Additional Plaintiffs' Counsel*